

IN THE ARBITRATION BEFORE THE
FINANCIAL INDUSTRY REGULATORY AUTHORITY

CONFIDENTIAL

**RICHARD WICK & JUNG LIU
CLAIMANTS**

CASE #11-00804

VS

**LA SALLE ST. SECURITIES, LLC
RESPONDENT**

PREHEARING ORDER

ISSUES ADDRESSED:

Non Party Behringer Harvard Reit 1's Motion to Quash the subpoena issued to CMG Partners, LLC on behalf of the panel on November 28, 2011.

Non-Party Behringer Harvard Reit 1, Inc's Motion for a Protective Order.

Non-Party Behringer Harvard Reit 1, Inc.'s request for attorney fees and costs in associated with resisting Complainants' subpoena.

Behringer Harvard Reit 1's request for clarification as to the source of the handwritten interlineation on the subpoena to CMG Partners, LLC.

DECIDED BY: The Chairperson.

RULING:

After careful consideration of the above the Motion from Behringer Harvard Reit 1, Claimants' Response and Behringer Harvard Reit 1's Reply, the ruling is as follows:

1. **Non-Party Behringer's Motion to Quash the subpoena to CMG Partners, LLC** is granted. The information sought in the subpoena to non-party CMG Partners would be unlikely to produce information relevant to these Claimants or their claims against the Respondents in this case.
2. **Non-Party Behringer's Motion for a Protective Order** is moot given the above ruling.

3. Non-Party Behringer's Request for Attorney fees and costs associated with resisting the subpoena to CMG Partners is denied.
4. For clarification, the ~~interlineation~~ on the subpoena served on CMG Partners, LLC was made by the Chairperson of this Panel.

Dated January 19, 2012

By Patricia G. Parthe
Patricia G. Parthe, Panel Chairperson
On Behalf of the Panel